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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

OFFICEACTIONS@FDML.COM

Office Action Summary

Application No.

10/516,457

Applicant(s)

PUECH, MICHEL

Examiner

Jeffrie R. Lund

Art Unit

1792

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 05 November 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-13, 15, 16 and 19-26 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-13, 15, 16 and 19-26 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 03 December 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 112

1. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claim 19 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The newly added limitation "that contaminates a substrate while being processed" is not supported in the specification. The specification only describes the metal as "do not emit contaminating atoms under the effect of plasma bombardment" (paragraph 22). There is no disclosure of what material will not contaminate a substrate while being processed or under what conditions the material will not contaminate a substrate.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States

only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1-3, 6, 9, 12, 15, 16 and 19 are rejected under 35 U.S.C. 102(e) as being anticipated by Bosch et al, US Patent 6,506,254.

Bosch teaches a plasma processing apparatus comprising:

- i. A reaction chamber (2) surrounded by a leakproof wall (outer perimeter of chamber), containing substrate support (8), and communicating with a plasma source (18) to form a plasma therein, is characterized in that it further comprises a heater liner (20) lining all or part of the leakproof wall (outer perimeter of chamber) of the reaction chamber (2) in non-leakproof manner, the heater liner coupled to a heater 28 and an intermediate thermal insulation space (area between 26 and wall) provided between the heater liner (20) and the leakproof wall (outer perimeter of chamber) of the reaction chamber (2) (See Fig. 6, Col. 10, lines 1-65). The heater liner presents a metal or alloy surface toward and substantially surrounding the plasma.
- ii. The heater liner (20) is made of aluminum and is thermally coupled to a heater (28) such as electrical resistances (Col. 10, line 57) suitable for connection to an external source of electrical energy (Fig. 6, Col. 10, lines 24-58) – **claims 2, 3.**
- iii. The intermediate space between the heater liner (20) and the leakproof wall (outer perimeter of 2) of the reaction chamber (2) communicate with the central space of the reaction chamber (2) via an annular space (area between 26 and 2) of small thickness (See Fig. 6) – **claim 6.**
- iv. The heater liner is associated with a temperature-regulator means (heater 28 and

temperature controlled member 30) for regulating its temperature in a desired range (See figure 6) – **claim 12**.

- v. Downstream (see above drawing objection) from the substrate support (8) the reaction chamber (2) is limited by a conductive grid (screen, 22) in thermal contact with the heater liner (20) (Fig. 6, Col. 10, 24-65) – **claim 15**.
 - vi. The substrate support (8) comprise electrostatic electrodes (electrostatic chuck) for attracting the substrate (6) (Col. 10, lines 6-10) – **claim 16**
5. Claims 21-25 are rejected under 35 U.S.C. 102(b) as being anticipated by Sajoto et al, US Patent Application Publication 2002/0015855 A1.

Sajoto et al teaches a reactor comprising: a reaction chamber 120 surrounded by an aluminum leakproof wall 112; a substrate support 124; an aluminum heated liner 128 lining a part of the leakproof wall in a non-leakproof manner; a heating element (paragraph 49); a temperature regulator means (PID) for regulating the temperature to a temperature greater than 150 C. (entire document, specifically figure 3 and paragraph 49)

Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 4 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bosch et al, US Patent 6506254 B1, in view of Wang et al US Patent Publication

2003/0188685 A1, Inazawa et al, US Patent 5595627, Miller US Patent 4439463, and Frankel et al US Patent 6019848.

Bosch was discussed above.

Bosch does not teach:

- i. A reactor according to claim 1, characterized in that it further comprises: a bias means for biasing the substrate support in order to control bombardment by particles coming from the plasma; an etching gas source, and means for controlling the etching flow rate to govern the introduction of etching gas into the plasma source; a passivation gas source, and means for controlling the passivation flow rate for governing the introduction of passivation gas into the plasma source; and a control device adapted to cause the etching gas flow rate control means and the passivation gas flow rate control means to operate in alternation – **in claim 4, 26.**

Wang further teaches:

- i. Bias means (240) for biasing the substrate support (160) in order to control bombardment by particles coming from the plasma (Para. 51) – **in Claim 4.**

Support for the “bias means” limitation of claim 4 is found in lines 24-29, page 9. Specifically, the specification teaches, “substrate support means 3 are biased by an RF generator 11.” Wang teaches an electrode power supply (240) for providing an RF bias voltage is connected to the substrate support (160). As such, Wang teaches an equivalent apparatus that performs the function of biasing the substrate support. As a result, Wang’s prior art element of electrode

power supply for biasing the substrate support perform the identical function of biasing the substrate support means in substantially the same way, and produces substantially the same results as the corresponding elements disclosed in the specification (MPEP 2183).

Inazawa teaches a plasma etching apparatus comprising:

- i. An etching gas source (70), and a mass flow controller (64) and valve (58) for controlling the etching flow rate to govern the introduction of etching gas into the plasma source; a passivation gas source (68), and a mass flow controller (62) and valve (56) for controlling the passivation flow rate for governing the introduction of passivation gas into the plasma source; and a control device (78) adapted to control the flow rates of the etching gas and the passivation gas (See Fig. 1, Col. 5, lines 1-13) – **in claim 4.**

Miller teaches a plasma processing apparatus comprising:

- i. A solenoid valve (98) for controlling gas flow rate into the reactor (18) (See Fig. 3, Col. 6, lines 61-68) – **in claim 4.**

Support for the “means for controlling” limitation of claim 4 is found in lines 11-16, page 9. Specifically, the specification teaches, “etching gas and etching flow rate control means 9b such as a solenoid valve” and “means 9b for controlling passivation flow rate, e.g. a solenoid valve.” Miller teaches a solenoid valve as part of a flow control system. As such, Miller teaches an equivalent apparatus that performs the function of controlling gas flow rate. As a result, Miller’s prior art element of solenoid valve for controlling gas flow rate perform

the identical function of controlling gas flow rate in substantially the same way, and produces substantially the same results as the corresponding elements disclosed in the specification (MPEP 2183).

Frankel teaches a plasma processing apparatus comprising:

- i. A control device (processor, 50) adapted to select one of two sources (43, 47) of gases to be sent to the processing chamber (15) in alternation (See Fig. 1A-1E, Col 13, lines 18-27) – **in claim 4**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to add Wang's bias means to Bosch's substrate support means, and to add Inazawa's gas sources and control to Bosch's apparatus.

Motivation to add Wang's bias means to Bosch's substrate support means is to allow etching of the substrate by energizing and accelerating the plasma ions toward the substrate as taught by Wang (Para. 51).

Motivation to add Inazawa's gas sources and control to the apparatus of Bosch et al is to provide a specific gas supply system with an etching gas (CO) and a passivation gas (S_4F_8) as required by Bosch et al but generically described.

It would also have been obvious to one of ordinary skill in the art at the time the invention was made to replace Inazawa's valve with Miller's solenoid valve, and add Frankel's control device programming to Inazawa's control device.

Motivation to replace Inazawa's valve with Miller's solenoid valve is to provide an alternate gas control valve in the apparatus of Inazawa. Furthermore, it has been held that the simple substitution of one known element for another to obtain predictable

results is obvious (see *KSR International Co. v. Teleflex Inc.*).

Motivation to add Frankel's control device programming to Inazawa's control device is to allow multiple process steps to be performed in situ in the same chamber to reduce total processing time as taught by Frankel (in Abstract) by alternately supplying different gases to the processing chamber.

8. Claims 5 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bosch et al, US Patent 6506254 B1, in view of Zhao et al US Patent 5885356.

Bosch does not teach:

- i. A reactor according to claim 1, characterized in that the heater liner is fastened to the leakproof wall of the reaction chamber by a small number of fastening points – **as claimed in claim 5.**
- ii. A reactor according to claim 5, characterized in that the fastening points are of thermally insulating structure opposing the transfer of heat energy by conduction from the heater liner to the leakproof wall of the reaction chamber – **as claimed in claim 7.**

Zhao teaches a substrate processing apparatus comprising:

- i. A liner (44) is fastened to the leakproof wall (230) of a chamber (239) by a small number of fastening points (screw, 41) (Figs. 4 and 5, Col. 7, lines 28-31) – **in claim 5.**
- ii. The fastening points (screw, 41) are of thermally insulating structure (TEFLON™) (Col. 7, lines 15-31) – **in claim 7.**

Therefore it would have been obvious to one of ordinary skill in the art at the time

the invention was made to attaché the liner of Bosch with TEFLON™ screws as taught by Zhao.

The motivation for attaching the liner Bosch with Zhao's TEFLON screws as fastening points is to provide a means of attaching the liner of Bosch. Furthermore, TEFLON™ is thermally and electrically insulating and is less susceptible to particulate formation as taught by Zhao (Col.3, lines 51-57).

9. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bosch et al and Zhao et al as applied to claim 5 above, and further in view of Freiburger et al, US Patent 3880396.

Bosch further teaches that the liner (20) can be supported in any suitable way (Col. 10, lines 28-29).

Bosch and Zhao do not teach:

- i. A reactor according to claim 5, characterized in that the heater liner (14) is suspended from the leakproof wall (2) of the reaction chamber (1) by three projections having heads, projecting beneath the face of the leakproof wall (2) and engaged in keyhole-shaped slots each having a wide portion and for passing a head and a narrow portion for retaining the head – **as claimed in claim 8.**

Freiburger teaches a quick change panel fastening system comprising:

- i. Projections (23) having heads (23b), projecting beneath the face of the base structure (11) and engaged in keyhole-shaped slots (60) in a panel (10), each slot having a wide portion (60a) and for passing a head (23b) and a narrow portion (60b) for retaining the head (23b) (See Figs. 1, 4, 5; Col. 1, line 66 thru

Col. 2, line 20; and Col. 3, line 53 thru Col. 4, line 21) – in **claim 8**.

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to fasten the liner of Bosch, and Zhao with the keyholes fastening components as taught by Freiburger.

The motivation for using Freiburger's keyhole fastening components to secure the liner of Bosch and Zhao is to provide a simplified structure for quickly and easily mounting a panel on a base as taught by Freiburger (Col. 1, lines 18-20). Further, it is well established that the duplication of parts is obvious (In re Harza, 274 F.2d 669, 124 USPQ 378 (CCPA 1960) MPEP 2144.04).

10. Claims 10 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bosch et al, US Patent 6506254 B1, in view of Zhao et al. (US 5968379).

Bosch does not teach:

- i. The electrical resistances comprise thin-film electrical resistances and/or electrical resistances of the thermocoaxial type – **claim 10**.
- ii. A reactor according to claim 1, characterized in that the heater liner includes heater (see above) suitable for heating it to a temperature higher than 150 degree C – **as claimed in claim 13**.

Zhao teaches a wafer processing apparatus comprising:

- i. A heating element (107) of electrical resistances comprises thin-film (flat ribbon) electrical resistances capable of heating to 400 degree C (See Fig. 7C, Col. 7, lines 19-21; Col. 18, lines 49-55; Col. 20, lines 25-41) - **claims 10 and 13**.

Therefore it would have been obvious to one of ordinary skill in the art at the time

the invention was made to replace Bosch's heater with Zhao's flat ribbon heating element.

The motivation to replace Bosch's heater with Zhao's flat ribbon heating element is that Zhao's flat heating element provides a greater ratio of surface area to cross-section area, which transfers heat more effectively as taught by Zhao (Col. 20, lines 52-56).

11. Claims 10 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bosch et al, US Patent 6506254 B1, in view of Sopory (US 6492629 B1)

Bosch does not teach:

- i. The electrical resistances comprise thin-film electrical resistances and/or electrical resistances of the thermocoaxial type – **claim 10**.
- ii. A reactor according to claim 1, characterized in that the heater liner includes a heater suitable for heating it to a temperature higher than 150 degrees C – **as claimed in claim 13**.

Sopory teaches an electrical heating device comprising:

- i. A flexible coaxial heater cable (100) that can maintain a temperature range of 500-600 degrees F(Fig. 6, Col. 7, line 18 to 38; Col. 10, lines 44-47) – **claims 10 and 13**.

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace Bosch's heater with Sopory's coaxial heater cable.

The motivation to replace Bosch's heater with Sopory's coaxial heater cable is that Sopory's coaxial heater cable responds very rapidly to achieve an equilibrium state

as taught by Sopory (Col. 7, lines 27-29).

12. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bosch et al US Patent 6506254 B1, in view of Collins et al. (US 6063233).

Bosch further teaches:

- i. The heater liner (20) is heated by a radiant (Col. 10, lines 38-40) heater (see above) – **in claim 11.**

Bosch does not teach:

- i. A reactor according to claim 1, characterized in that the heater liner is heated by radiant heater means such as infrared elements – **as claimed in claim 11.**

Collins teaches a plasma processing apparatus comprising:

- i. Radiant heater means (see above) such as infrared elements (tungsten/halogen lamps, 72) (Fig. 4A, Col. 18, lines 17-35) – **in claim 11.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace the generic radiant heating means of Bosch with the lamps of Collins.

The motivation for replacing the radiant heating means of Bosch with the lamps of Collins is because this type of radiant heater has minimal thermal lag, that is, response time to temperature setting change is very short (less than one second) as taught by Collins (Col. 18, lines 17-35).

13. Claims 20-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bosch et al (US Patent 6506254) in view of Collins et al (US Patent 6518195 B1).

Bosch et al was discussed above.

Bosch et al differs from the present invention in that it does not teach the leakproof wall is made of metal.

Collins et al teaches a leak proof wall 11 made of aluminum. (Figure 1 column 7 lines 8-11)

The motivation for making the leakproof wall of Bosch et al out of metal is to provide a material from which to make the leakproof wall as taught by Collins et al.

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to make the leakproof wall of Bosch et al out of metal as taught by Collins et al.

14. If it is held that that the aluminum liner of Bosch does not read on the claimed metal heater liner, the following rejections are made.

15. Claims 1-3, 6, 9, 12, 15, 16 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang et al (US 2003/0188685 A1) in view of Bosch et al (US 6506254 B1).

Wang et al teaches a plasma processing apparatus comprising:

- i. A reaction chamber (120) surrounded by a leakproof wall (outer perimeter of chamber), containing substrate support (160), and communicating with a plasma source (360), is characterized in that it further comprises a liner (395) lining all or part of the leakproof wall (outer perimeter of chamber) of the reaction chamber (340) in non-leakproof manner, and an intermediate thermal insulation space (area between 395 and wall) provided between the liner (395) and the leakproof wall (outer perimeter of chamber) of the reaction chamber (120) (See Fig. 1a).

- The liner (395) presents a metal or alloy surface toward and substantially surrounding the plasma.
- ii. The liner (395) is made of aluminum or titanium— **claims 2, 3.**
 - iii. The intermediate space between the liner (395) and the leakproof wall (outer perimeter of 120) of the reaction chamber (120) communicate with the central space (340) of the reaction chamber (120) via an annular space (area between 395 and 120) of small thickness (See Fig. 1a) – **claim 6.**
 - iv. Downstream (see above drawing objection) from the substrate support (8) the reaction chamber (120) is limited by a conductive grid (150) having recess 200 and holes 265 in thermal contact with the heater liner (20) (Fig. 1a, Paragraph 47) – **claim 15.**
 - v. The substrate support (160) comprise electrostatic electrodes (electrostatic chuck) for attracting the substrate (110) (paragraph 51) – **claim 16**
 - vi. Bias means (240) for biasing the substrate support (160) in order to control bombardment by particles coming from the plasma (Para. 51) – **in Claim 4.**

Support for the “bias means” limitation of claim 4 is found in lines 24-29, page 9. Specifically, the specification teaches, “substrate support means 3 are biased by an RF generator 11.” Wang teaches an electrode power supply (240) for providing an RF bias voltage is connected to the substrate support (160). As such, Wang teaches an equivalent apparatus that performs the function of biasing the substrate support. As a result, Wang’s prior art element of electrode power supply for biasing the substrate support perform the identical function of

biasing the substrate support means in substantially the same way, and produces substantially the same results as the corresponding elements disclosed in the specification (MPEP 2183).

Wang et al differs from the present invention in that:

- i. The liner is thermally coupled to a heater such as electrical resistance heater suitable for connection to an external source of electrical energy; or
- ii. A temperature-regulator means.

Bosch et al was discussed above and teaches:

- i. A heater (28) such as electrical resistance heater suitable for connection to an external source of electrical energy; and
- ii. A temperature-regulator means 30.

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to add the heater and temperature-regulator means of Bosch to the apparatus of Wang et al.

The motivation for adding the heater and temperature-regulator means of Bosch et al to the apparatus of Wang is to control the temperature of the liner as taught by Bosch.

16. Claims 4 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang et al Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1 as applied to claims 1-3, 6, 9, 12, 15, 16 and 19 above, and further in view of Inazawa et al, US Patent 5595627, Miller US Patent 4439463, and Frankel et al US Patent 6019848.

Wang and Bosch do not teach:

- i. A reactor according to claim 1, characterized in that it further comprises: an etching gas source, and means for controlling the etching flow rate to govern the introduction of etching gas into the plasma source; a passivation gas source, and means for controlling the passivation flow rate for governing the introduction of passivation gas into the plasma source; and a control device adapted to cause the etching gas flow rate control means and the passivation gas flow rate control means to operate in alternation – **in claim 4, 26.**

Inazawa teaches a plasma etching apparatus comprising:

- i. An etching gas source (70), and a mass flow controller (64) and valve (58) for controlling the etching flow rate to govern the introduction of etching gas into the plasma source; a passivation gas source (68), and a mass flow controller (62) and valve (56) for controlling the passivation flow rate for governing the introduction of passivation gas into the plasma source; and a control device (78) adapted to control the flow rates of the etching gas and the passivation gas (See Fig. 1, Col. 5, lines 1-13).

Miller teaches a plasma processing apparatus comprising:

- i. A solenoid valve (98) for controlling gas flow rate into the reactor (18) (See Fig. 3, Col. 6, lines 61-68).

Support for the “means for controlling” limitation of claim 4 is found in lines 11-16, page 9. Specifically, the specification teaches, “etching gas and etching flow rate control means 9b such as a solenoid valve” and “means 9b for

controlling passivation flow rate, e.g. a solenoid valve.” Miller teaches a solenoid valve as part of a flow control system. As such, Miller teaches an equivalent apparatus that performs the function of controlling gas flow rate. As a result, Miller’s prior art element of solenoid valve for controlling gas flow rate perform the identical function of controlling gas flow rate in substantially the same way, and produces substantially the same results as the corresponding elements disclosed in the specification (MPEP 2183).

Frankel teaches a plasma processing apparatus comprising:

- i. A control device (processor, 50) adapted to select one of two sources (43, 47) of gases to be sent to the processing chamber (15) in alternation (See Fig. 1A-1E, Col 13, lines 18-27)

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to add to add Inazawa’s gas sources and control to Wang’s and Bosch’s apparatus.

Motivation to add Inazawa’s gas sources and control to the apparatus of Wang and Bosch is to provide a specific gas supply system with an etching gas (CO) and a passivation gas (S_4F_8) as required by Wang and Bosch et al but generically described.

It would also have been obvious to one of ordinary skill in the art at the time the invention was made to replace Inazawa’s valve with Miller’s solenoid valve, and add Frankel’s control device programming to Inazawa’s control device.

Motivation to replace Inazawa’s valve with Miller’s solenoid valve is to provide an alternate gas control valve in the apparatus of Inazawa. Furthermore, it has been held

that the simple substitution of one known element for another to obtain predictable results is obvious (see *KSR International Co. v. Teleflex Inc.*).

Motivation to add Frankel's control device programming to Inazawa's control device is to allow multiple process steps to be performed in situ in the same chamber to reduce total processing time as taught by Frankel (in Abstract) by alternately supplying different gases to the processing chamber.

17. Claims 5 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang et al Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1as applied to claims 1-3, 6, 9, 12, 15, 16 and 19 above, and further in view of Zhao et al US Patent 5885356.

Wang and Bosch do not teach:

- i. A reactor according to claim 1, characterized in that the heater liner is fastened to the leakproof wall of the reaction chamber by a small number of fastening points – **as claimed in claim 5.**
- ii. A reactor according to claim 5, characterized in that the fastening points are of thermally insulating structure opposing the transfer of heat energy by conduction from the heater liner to the leakproof wall of the reaction chamber – **as claimed in claim 7.**

Zhao teaches a substrate processing apparatus comprising:

- i. A liner (44) is fastened to the leakproof wall (230) of a chamber (239) by a small number of fastening points (screw, 41) (Figs. 4 and 5, Col. 7, lines 28-31) – **in claim 5.**

- ii. The fastening points (screw, 41) are of thermally insulating structure (TEFLON™) (Col. 7, lines 15-31) – **in claim 7.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to attaché the liner of Wang and Bosch with TEFLON™ screws as taught by Zhao.

The motivation for attaching the liner Wang and Bosch with Zhao's TEFLON screws as fastening points is to provide a means of attaching the liner of Wang and Bosch. Furthermore, TEFLON™ is thermally and electrically insulating and is less susceptible to particulate formation as taught by Zhao (Col.3, lines 51-57).

18. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wang, Bosch et al and Zhao et al as applied to claim 5 above, and further in view of Freiburger et al, US Patent 3880396.

Wang and Bosch further teach that the liner can be supported in any suitable way (Bosch Col. 10, lines 28-29).

Wang, Bosch and Zhao do not teach:

- i. A reactor according to claim 5, characterized in that the heater liner (14) is suspended from the leakproof wall (2) of the reaction chamber (1) by three projections having heads, projecting beneath the face of the leakproof wall (2) and engaged in keyhole-shaped slots each having a wide portion and for passing a head and a narrow portion for retaining the head – **as claimed in claim 8.**

Freiburger teaches a quick change panel fastening system comprising:

- i. Projections (23) having heads (23b), projecting beneath the face of the base

structure (11) and engaged in keyhole-shaped slots (60) in a panel (10), each slot having a wide portion (60a) and for passing a head (23b) and a narrow portion (60b) for retaining the head (23b) (See Figs. 1, 4, 5; Col. 1, line 66 thru Col. 2, line 20; and Col. 3, line 53 thru Col. 4, line 21) – **in claim 8.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to fasten the liner of Wang, Bosch, and Zhao with the keyholes fastening components as taught by Freiburger.

The motivation for using Freiburger's keyhole fastening components to secure the liner of Wang, Bosch and Zhao is to provide a simplified structure for quickly and easily mounting a panel on a base as taught by Freiburger (Col. 1, lines 18-20). Further, it is well established that the duplication of parts is obvious (In re Harza, 274 F.2d 669, 124 USPQ 378 (CCPA 1960) MPEP 2144.04).

19. Claims 10 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang et al Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1 as applied to claims 1-3, 6, 9, 12, 15, 16 and 19 above, and further in view of Zhao et al. (US 5968379).

Wang and Bosch do not teach:

- i. The electrical resistances comprise thin-film electrical resistances and/or electrical resistances of the thermocoaxial type – **claim 10.**
- ii. A reactor according to claim 1, characterized in that the heater liner includes heater (see above) suitable for heating it to a temperature higher than 150 degree C – **as claimed in claim 13.**

Zhao teaches a wafer processing apparatus comprising:

- i. A heating element (107) of electrical resistances comprises thin-film (flat ribbon) electrical resistances capable of heating to 400 degree C (See Fig. 7C, Col. 7, lines 19-21; Col. 18, lines 49-55; Col. 20, lines 25-41) - **claims 10 and 13.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace Wang's and Bosch's heater with Zhao's flat ribbon heating element.

The motivation to replace Wang's and Bosch's heater with Zhao's flat ribbon heating element is that Zhao's flat heating element provides a greater ratio of surface area to cross-section area, which transfers heat more effectively as taught by Zhao (Col. 20, lines 52-56).

20. Claims 10 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang et al Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1 as applied to claims 1-3, 6, 9, 12, 15, 16 and 19 above, and further in view of Sopory (US 6492629 B1)

Wang and Bosch do not teach:

- i. The electrical resistances comprise thin-film electrical resistances and/or electrical resistances of the thermocoaxial type – **claim 10.**
- ii. A reactor according to claim 1, characterized in that the heater liner includes a heater suitable for heating it to a temperature higher than 150 degrees C – **as claimed in claim 13.**

Sopory teaches an electrical heating device comprising:

- i. A flexible coaxial heater cable (100) that can maintain a temperature range of 500-600 degrees F (Fig. 6, Col. 7, line 18 to 38; Col. 10, lines 44-47) – **claims 10 and 13.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace Wang's and Bosch's heater with Sopory's coaxial heater cable.

The motivation to replace Wang's and Bosch's heater with Sopory's coaxial heater cable is that Sopory's coaxial heater cable responds very rapidly to achieve an equilibrium state as taught by Sopory (Col. 7, lines 27-29).

21. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wang et al Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1 as applied to claims 1-3, 6, 9, 12, 15, 16 and 19 above, and further in view of Collins et al. (US 6063233).

Wang and Bosch further teach:

- i. The heater liner can be heated by a radiant heater (Bosch Col. 10, lines 38-40) – **in claim 11.**

Wang and Bosch do not teach:

- i. A reactor according to claim 1, characterized in that the heater liner is heated by radiant heater means such as infrared elements – **as claimed in claim 11.**

Collins teaches a plasma processing apparatus comprising:

- i. Radiant heater means (see above) such as infrared elements (tungsten/halogen lamps, 72) (Fig. 4A, Col. 18, lines 17-35) – **in claim 11.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace the generic radiant heating means of Wang and Bosch with the lamps of Collins.

The motivation for replacing the radiant heating means of Wang and Bosch with the lamps of Collins is because this type of radiant heater has minimal thermal lag, that is, response time to temperature setting change is very short (less than one second) as taught by Collins (Col. 18, lines 17-35).

22. Claims 1-3, 6, 9, 12, 15, 16 and 19-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Collins et al (US Patent 6,518,195 B1), in view of Wang et al (US 2003/0188685 A1) and Bosch et al (US 6506254 B1).

Collins et al teaches a reaction chamber (16B) surrounded by an aluminum leakproof wall (12), containing substrate support (32C), and communicating with a plasma source (360), a conductive grid 29; an electrostatic chuck (column 20 lines 50-51); an etching gas source (C_2F_6 or CF_4) (Column 10 lines 24-25); a passivation gas source (CH_3F , CHF_3) (column 10 lines 25-26); and is biased by power source 42. (Figure 1)

Collins et al differs from the present invention in that Collins et al does not teach: a liner lining all or part of the leakproof wall of the reaction chamber in non-leakproof manner, and an intermediate thermal insulation space provided between the liner and the leakproof wall of the reaction chamber; that the liner presents a metal or alloy surface toward and substantially surrounding the plasma; and a temperature-regulator means.

Wang et al teaches a plasma processing apparatus comprising: an aluminum liner (395) lining all or part of the leakproof wall (outer perimeter of chamber) of the reaction chamber (340) in non-leakproof manner, and an intermediate thermal insulation space (area between 395 and wall) provided between the liner (395) and the leakproof wall (outer perimeter of chamber) of the reaction chamber (120) (See Fig. 1a). The liner (395) presents a metal or alloy surface toward and substantially surrounding the plasma. The intermediate space between the liner (395) and the leakproof wall (outer perimeter of 120) of the reaction chamber (120) communicate with the central space (340) of the reaction chamber (120) via an annular space (area between 395 and 120) of small thickness (See Fig. 1a).

Bosch et al was discussed above and teaches: a heater (28) such as electrical resistance heater suitable for connection to an external source of electrical energy; and temperature-regulator means 30.

The motivation for adding the liner of Wang et al to the apparatus of Collins et al is to "shield components or walls of the chamber from the plasma, receive residue material formed in the plasma, or direct plasma or sputtered species toward or away from the substrate" as taught by Wang et al in paragraph 0024.

The motivation for adding the heater and temperature-regulator means of Bosch et al to the apparatus of Wang is to control the temperature of the liner as taught by Bosch.

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to add the liner of Wang et al and the heater and temperature-

regulator means of Bosch to the apparatus of Collins et al.

23. Claims 4 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Collins et al (US Patent 6,518,195 B1), Wang et al Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1 as applied to claims 1-3, 6, 9, 12, 15, 16 and 19-25 above, and further in view of Inazawa et al, US Patent 5595627, Miller US Patent 4439463, and Frankel et al US Patent 6019848.

Collins et al, Wang et al, and Bosch et al do not teach:

- i. A reactor according to claim 1, characterized in that it further comprises: a means for controlling the etching flow rate to govern the introduction of etching gas into the plasma source; a means for controlling the passivation flow rate for governing the introduction of passivation gas into the plasma source; and a control device adapted to cause the etching gas flow rate control means and the passivation gas flow rate control means to operate in alternation – **in claim 4, 26.**

Inazawa teaches a plasma etching apparatus comprising:

- i. An etching gas source (70), and a mass flow controller (64) and valve (58) for controlling the etching flow rate to govern the introduction of etching gas into the plasma source; a passivation gas source (68), and a mass flow controller (62) and valve (56) for controlling the passivation flow rate for governing the introduction of passivation gas into the plasma source; and a control device (78) adapted to control the flow rates of the etching gas and the passivation gas (See Fig. 1, Col. 5, lines 1-13).

Miller teaches a plasma processing apparatus comprising:

- i. A solenoid valve (98) for controlling gas flow rate into the reactor (18) (See Fig. 3, Col. 6, lines 61-68).

Support for the “means for controlling” limitation of claim 4 is found in lines 11-16, page 9. Specifically, the specification teaches, “etching gas and etching flow rate control means 9b such as a solenoid valve” and “means 9b for controlling passivation flow rate, e.g. a solenoid valve.” Miller teaches a solenoid valve as part of a flow control system. As such, Miller teaches an equivalent apparatus that performs the function of controlling gas flow rate. As a result, Miller’s prior art element of solenoid valve for controlling gas flow rate perform the identical function of controlling gas flow rate in substantially the same way, and produces substantially the same results as the corresponding elements disclosed in the specification (MPEP 2183).

Frankel teaches a plasma processing apparatus comprising:

- i. A control device (processor, 50) adapted to select one of two sources (43, 47) of gases to be sent to the processing chamber (15) in alternation (See Fig. 1A-1E, Col 13, lines 18-27)

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to add to add Inazawa’s gas sources and control to Collins’s, Wang’s, and Bosch’s apparatus.

Motivation to add Inazawa’s gas control to the apparatus of Collins et al, Wang et al, and Bosch et al is to provide a specific gas supply system as required by Collins et al, Wang et al, and Bosch et al et al but generically described.

It would also have been obvious to one of ordinary skill in the art at the time the invention was made to replace Inazawa's valve with Miller's solenoid valve, and add Frankel's control device programming to Inazawa's control device.

Motivation to replace Inazawa's valve with Miller's solenoid valve is to provide an alternate gas control valve in the apparatus of Inazawa. Furthermore, it has been held that the simple substitution of one known element for another to obtain predictable results is obvious (see *KSR International Co. v. Teleflex Inc.*).

Motivation to add Frankel's control device programming to Inazawa's control device is to allow multiple process steps to be performed in situ in the same chamber to reduce total processing time as taught by Frankel (in Abstract) by alternately supplying different gases to the processing chamber.

24. Claims 5 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Collins et al, US Patent 6,518,195 B1, Wang et al Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1 as applied to claims 1-3, 6, 9, 12, 15, 16 and 19-25 above, and further in view of Zhao et al US Patent 5885356.

Collins et al, Wang et al, and Bosch et al do not teach:

- i. A reactor according to claim 1, characterized in that the heater liner is fastened to the leakproof wall of the reaction chamber by a small number of fastening points – **as claimed in claim 5.**
- ii. A reactor according to claim 5, characterized in that the fastening points are of thermally insulating structure opposing the transfer of heat energy by conduction from the heater liner to the leakproof wall of the reaction chamber – **as claimed**

in claim 7.

Zhao teaches a substrate processing apparatus comprising:

- i. A liner (44) is fastened to the leakproof wall (230) of a chamber (239) by a small number of fastening points (screw, 41) (Figs. 4 and 5, Col. 7, lines 28-31) – **in claim 5.**
- ii. The fastening points (screw, 41) are of thermally insulating structure (TEFLON™) (Col. 7, lines 15-31) – **in claim 7.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to attaché the liner of Collins et al, Wang et al, and Bosch et al with TEFLON™ screws as taught by Zhao.

The motivation for attaching the liner Collins et al, Wang et al, and Bosch et al with Zhao's TEFLON screws as fastening points is to provide a means of attaching the liner of Collins et al, Wang et al, and Bosch et al. Furthermore, TEFLON™ is thermally and electrically insulating and is less susceptible to particulate formation as taught by Zhao (Col.3, lines 51-57).

25. Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Collins et al, Wang et al, Bosch et al, and Zhao et al as applied to claim 5 above, and further in view of Freiburger et al, US Patent 3880396.

Collins et al, Wang et al, and Bosch et al further teach that the liner can be supported in any suitable way (Bosch Col. 10, lines 28-29).

Collins et al, Wang et al, Bosch et al, and Zhao et al do not teach:

- i. A reactor according to claim 5, characterized in that the heater liner (14) is

suspended from the leakproof wall (2) of the reaction chamber (1) by three projections having heads, projecting beneath the face of the leakproof wall (2) and engaged in keyhole-shaped slots each having a wide portion and for passing a head and a narrow portion for retaining the head – **as claimed in claim 8.**

Freiberger teaches a quick change panel fastening system comprising:

- i. Projections (23) having heads (23b), projecting beneath the face of the base structure (11) and engaged in keyhole-shaped slots (60) in a panel (10), each slot having a wide portion (60a) and for passing a head (23b) and a narrow portion (60b) for retaining the head (23b) (See Figs. 1, 4, 5; Col. 1, line 66 thru Col. 2, line 20; and Col. 3, line 53 thru Col. 4, line 21) – **in claim 8.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to fasten the liner of Wang, Bosch, and Zhao with the keyholes fastening components as taught by Freiberger.

The motivation for using Freiberger's keyhole fastening components to secure the liner of Wang, Bosch and Zhao is to provide a simplified structure for quickly and easily mounting a panel on a base as taught by Freiberger (Col. 1, lines 18-20).

Further, it is well established that the duplication of parts is obvious (In re Harza, 274 F.2d 669, 124 USPQ 378 (CCPA 1960) MPEP 2144.04).

26. Claims 10 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Collins et al (US Patent 6,518,195 B1), Wang et al, Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1 as applied to claims 1-3, 6, 9, 12, 15, 16 and 19-25 above, and further in view of Zhao et al. (US 5968379).

Collins et al, Wang et al, and Bosch et al do not teach:

- i. The electrical resistances comprise thin-film electrical resistances and/or electrical resistances of the thermocoaxial type – **claim 10**.
- ii. A reactor according to claim 1, characterized in that the heater liner includes heater (see above) suitable for heating it to a temperature higher than 150 degree C – **as claimed in claim 13**.

Zhao teaches a wafer processing apparatus comprising:

- i. A heating element (107) of electrical resistances comprises thin-film (flat ribbon) electrical resistances capable of heating to 400 degree C (See Fig. 7C, Col. 7, lines 19-21; Col. 18, lines 49-55; Col. 20, lines 25-41) - **claims 10 and 13**.

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace Collins's, Wang's, and Bosch's heater with Zhao's flat ribbon heating element.

The motivation to replace Collins's, Wang's, and Bosch's heater with Zhao's flat ribbon heating element is that Zhao's flat heating element provides a greater ratio of surface area to cross-section area, which transfers heat more effectively as taught by Zhao (Col. 20, lines 52-56).

27. Claims 10 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Collins et al (US Patent 6,518,195 B1), Wang et al, Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1 as applied to claims 1-3, 6, 9, 12, 15, 16 and 19-25 above, and further in view of Sopory (US 6492629 B1)

Collins et al, Wang et al, and Bosch et al do not teach:

- i. The electrical resistances comprise thin-film electrical resistances and/or electrical resistances of the thermocoaxial type – **claim 10.**
- ii. A reactor according to claim 1, characterized in that the heater liner includes a heater suitable for heating it to a temperature higher than 150 degrees C – **as claimed in claim 13.**

Sopory teaches an electrical heating device comprising:

- i. A flexible coaxial heater cable (100) that can maintain a temperature range of 500-600 degrees F(Fig. 6, Col. 7, line 18 to 38; Col. 10, lines 44-47) – **claims 10 and 13.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace Collins's, Wang's, and Bosch's heater with Sopory's coaxial heater cable.

The motivation to replace Collins's, Wang's, and Bosch's heater with Sopory's coaxial heater cable is that Sopory's coaxial heater cable responds very rapidly to achieve an equilibrium state as taught by Sopory (Col. 7, lines 27-29).

28. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Collins et al, US Patent 6,518,195 B1, Wang et al, Patent Publication 2003/0188685 A1, and Bosch et al, US Patent 6506254 B1as applied to claims 1-3, 6, 9, 12, 15, 16 and 19-25 above, and further in view of Collins et al. (US 6063233).

Collins et al, Wang et al, and Bosch et al further teach:

- i. The heater liner can be heated by a radiant heater (Bosch Col. 10, lines 38-40) – **in claim 11.**

Collins et al, Wang et al, and Bosch et al does not teach:

- i. A reactor according to claim 1, characterized in that the heater liner is heated by radiant heater means such as infrared elements – **as claimed in claim 11.**

Collins teaches a plasma processing apparatus comprising:

- i. Radiant heater means (see above) such as infrared elements (tungsten/halogen lamps, 72) (Fig. 4A, Col. 18, lines 17-35) – **in claim 11.**

Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to replace the generic radiant heating means of Collins et al, Wang et al, and Bosch et al with the lamps of Collins.

The motivation for replacing the radiant heating means of Collins et al, Wang et al, and Bosch et al with the lamps of Collins is because this type of radiant heater has minimal thermal lag, that is, response time to temperature setting change is very short (less than one second) as taught by Collins (Col. 18, lines 17-35).

Response to Arguments

29. Applicant's arguments with respect to claims 1-13, 15, 16, and 19-26 have been considered but are moot in view of the new ground(s) of rejection.

30. Applicant's arguments filed November 5, 2009 have been fully considered but they are not persuasive.

In regard to the 112 2nd paragraph and the 101 rejections, the rejections have been dropped because the amendment has rendered the claim functional and clear. For example, an aluminum liner would emit aluminum contaminants, but if an aluminum layer were being etched, then the particles would not contaminate the substrate.

In regard to the arguments directed to Bosch, the examiner disagrees for the following reasons:

- a. The claim only requires a metal or alloy; it does not require that the entire liner is made of metal. Thus the aluminum backing plate of Bosch meets the limitation of the claim.
- b. There is no requirement in the claims that the liner be made of aluminum. Furthermore, even if the claim were amended to claim that the liner was "made of aluminum"; the comprising language of the claim would not limit other elements such as the ceramic parts of Bosch.
- c. The Applicant has misstated the teaching of Bosch. In column 10 lines 41-58 Bosch teaches:

The plasma chamber liner 20 can comprise a one-piece liner or multi-piece liner such as interlocking ceramic tiles. To provide an electrical ground path for the plasma, the tiles are preferably of an electrically conductive material such as silicon and carbon. For example, the tiles can be entirely of CVD SiC or Si impregnated SiC coated with CVD SiC. Such a material provides an added benefit in that it does not contain aluminum and thus reduces Al contamination of processed substrates. The SiC tiles can be bonded to an aluminum backing plate using an electrically conductive elastomer which can absorb lateral stresses caused by different thermal expansion coefficients of the SiC and Al. Each tile and backing plate assembly can be attached directly or indirectly to the chamber wall. For example, the tiles can be supported by a support frame which includes an inner frame and an outer frame. Temperature control of the liner can be achieved by a heater supplied power by electrical leads and a temperature controlled member.
(Emphasis added)

From this passage it is clear that Bosch teaches that removing Al from inner piece of a liner will result in less aluminum contamination, and that the liner can have a second outer part that is made of aluminum. Nowhere does Bosch teach

that teaching that "that metal as a liner material would be undesirable". At best, Bosch teaches that removing aluminum from the inner surface reduces aluminum contamination. Furthermore, Bosch also teaches that the liner can include aluminum parts. Thus, Bosch does not teach away from the use of aluminum in the liner.

d. Applicant argues that aluminum is a contamination problem, but claims that aluminum is not a contamination problem. Applicant cannot argue that aluminum is a contamination problem and that it is not a contamination problem.

e. Bosch is used to reject the claims under section 102. The "teaches against argument" is valid only in 103 rejections as a means to overcome combinations. Bosch et al teaches a liner of a metal as claimed. None of the rejections relies on a suggestion of replacing the ceramic portion of the liner with aluminum. The argument would be valid if such a rejection was made, but no such rejection has been made.

f. The newly added limitation "the heater liner presents a metal or alloy surface toward and substantially surrounding the plasma" does not require that the liner contact the plasma only that it faces the plasma. the aluminum portion of the liner of Bosch faces and surrounds the plasma.

g. It appears that the Applicant is trying to claim that the liner is exclusively metal or an alloy and contacts the plasma. If this is the case, the claims should be amended to claim that the liner consists of a metal or an alloy, or language that requires a metal or alloy and excludes all other materials; and that the metal

or alloy is in direct contact with the plasma.

In regard to the arguments directed to Bosch, Wang, Inazawa, Miller and Frankel, the Examiner disagrees for the following reasons:

- a. The Applicant clearly defines C_4F_8 as a passivation gas (see page 1 line 14). Therefore, C_4F_8 is a passivation gas. It may have other uses as an etching gas, but it is still a passivation gas.
- b. The claims require an etching source and a passivation source. Inazawa teaches a CO source and a C_4F_8 source. CO is an etching gas, C_4F_8 is a passivation gas. Thus, Inazawa teaches the claimed invention.
- c. If the Applicant wants to identify a specific gas, Applicant should amend the claims such that they claim the desired gas.
- d. Frankel teaches a gate valve that alternates the supply of gases to enable a single chamber to perform multiple processes in a single chamber. Thus the combination meets all of the claim limitations.

Conclusion

31. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not

mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

32. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrie R. Lund whose telephone number is (571) 272-1437. The examiner can normally be reached on Monday-Thursday (10:00 am - 9:00 pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Parviz Hassanzadeh can be reached on (571) 272-1435. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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/Jeffrie R. Lund/
Primary Examiner
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